



STATE OF TENNESSEE
DEPARTMENT OF FINANCE AND ADMINISTRATION
AMENDMENT # 1
RFP # 317.03-134

November 1, 2005

The subject RFP is hereby amended as follows.

A. The following RFP Schedule of Events updates or confirms scheduled RFP dates.

| EVENT | TIME | DATE | UPDATED/ CONFIRMED |
|--|-------------------|---|-----------------------|
| 1. State Issues RFP | | October 21, 2005 | CONFIRMED |
| 2. Disability Accommodation Request Deadline | | October 28, 2005 | CONFIRMED |
| 3. Pre-proposal Conference | 10:00 a.m. | November 1, 2005 | CONFIRMED |
| 4. Notice of Intent to Propose Deadline | | November 7, 2005 | CONFIRMED |
| 5. Written Comments Deadline | | November 14, 2005 | CONFIRMED |
| 6. State Responds to Written Comments | | December 2, 2005 | CONFIRMED |
| 7. Proposal Deadline | 2:00 p.m. | December 16, 2005 | CONFIRMED |
| 8. State Completes Technical Proposal Evaluations | | January 20, 2006 | CONFIRMED |
| 9. Software Demonstrations | | January 23 – February 17, 2006 | CONFIRMED |
| 10. State Opens Cost Proposals and Calculates Scores | 3:00 p.m. | February 22, 2006 | CONFIRMED |
| 11. State Issues Evaluation Notice <u>and</u> Opens RFP Files for Public Inspection | 9:00 a.m. | February 28, 2006 | CONFIRMED |
| 12. Contract Signing | | March 10, 2006 | CONFIRMED |
| 13. Contract Signature Deadline | | March 17, 2006 | CONFIRMED |
| 14. Contract Start Date | | April 3, 2006 | CONFIRMED |

B. Delete the final paragraph of RFP Section 1.1.2 in its entirety and insert the following in its place:

The State has established mandatory requirements that must be met by all proposals submitted for evaluation. To qualify to submit a proposal, the Proposer must have completed, as the primary provider of implementation services, a state or local public sector implementation of an

integrated ERP system (including integrated financial management, procurement and human resources / payroll functionality) for an organization with total annual expenditures (including state and federal appropriations) of at least \$12 billion and with at least 25,000 employees. Additionally, the proposed version of the ERP software (including integrated financial management, procurement and human resources / payroll functionality) must be currently in production in a public sector environment. Please refer to RFP Attachment 6.3, Section B.14, for reference information required to substantiate these requirements. These qualifications are mandatory, and proposals that lack these criteria will be disqualified from evaluation. Please refer to RFP Attachment 6.3, Section A, for additional mandatory criteria for this RFP.

C. Delete RFP Section 3.2.4 in its entirety and insert the following in its place:

3.2.4 Each Technical Proposal binder will include a CD containing electronic files of the contents of the Technical Proposal. The electronic files may be submitted in Microsoft Office 97 or higher format (Word, Excel, etc.) or in Adobe Acrobat format (PDF), except for certain schedules noted elsewhere that must be in their native format (Excel, Project, etc.). The following files are expected to be the contents of the Technical Proposal CD:

1. Responses to RFP Attachment 6.3, Technical Proposal, in Word or Acrobat format
2. RFP Attachment 6.4, Technical Proposal Supplement, in Excel format
3. RFP Attachment 6.8, ERP Functional Requirements (2 files), in Excel format
4. RFP Attachment 6.9, ERP General System Requirements, in Excel format
5. RFP Attachment 6.12, Section 6.12.1.3, Project Work Plan, in Project format

In addition to the 16 CDs contained in the 16 submitted Technical Proposal binders, the State requires thirty (30) additional complete copies of the Technical Proposal CD to be included in the sealed Technical Proposal response package. All CDs shall contain the expected contents listed above.

D. In RFP Attachment 6.1, delete *pro forma* contract Section E.6.d.ii in its entirety and insert the following in its place:

- ii. The State shall execute one or more direct licensure agreements with the owner(s) of the software to provide the State with licensure rights to the Proprietary Core System Software.

E. In RFP Attachment 6.3, Section A, delete subsection A.5 in its entirety and insert the following in its place:

A.5 Provide evidence that the proposed version of the ERP Software (including integrated financial management, procurement and human resources / payroll functionality) from the primary software vendor is currently in production in a public sector environment. Please refer to RFP Attachment 6.3, Section B.14, for reference information for budget and employees. As evidence of meeting this requirement, Proposer will complete a table listing all clients of the software vendor that meet the above criteria, with the name of the entity, budget, number of employees, the products implemented, the modules/ functionality within those products that were deployed with their respective production dates. At least one of the sites listed in this section must be one of the references for the Primary Software Vendor in Section B.14.

F. In RFP Attachment 6.3, Section B, delete the second paragraph of subsection B.14 in its entirety and insert the following in its place:

At least one of the references for the Primary Software Vendor and at least one for the Proposer must be for an implementation of an ERP system (including integrated financial management, procurement and human resources / payroll functionality) that is in production for a U.S. state, county or city with total annual expenditures of \$12 billion or more (capital inclusive) and at least 25,000 employees. Note that the references described in the preceding sentence do not have to be for the version of the ERP software that the vendor is proposing. Additionally, the Proposer must have been the primary provider of implementation services for at least one of the references. Furthermore, at least one of the references for the Primary Software Vendor must be from a public sector environment in which the proposed version of the ERP software (including integrated financial management, procurement and human resources / payroll functionality) is currently in production (see RFP Attachment 6.3, section A, subsection A.5). It is

preferred but not required that the other references be for implementations similar in size and scope to the proposed project with the State of Tennessee. All references must be production systems in operation, not implementations that are still in progress.

- G. In RFP Attachment 6.5, Part A, under the section entitled “SOFTWARE AND SERVICES: COST PROPOSAL SCHEDULE,” delete the first paragraph in its entirety and insert the following in its place:**

The costs under the Proposed Cost column below shall indicate the proposed price for providing the software and services for HR/PAYROLL and FINANCIAL/PROCUREMENT/LOGISTICS functional areas of the system, including all services as defined in the RFP Attachment 6.1.a, *Pro Forma* Integrator Contract for the total contract period. Costs in this section shall include the total application acquisition and/or development cost, including all software costs for the duration of the contract, with the sole exception of costs for State-standard software, which shall not be included.

- H. In RFP Attachment 6.5, Part A, under the section entitled “SOFTWARE AND SERVICES: COST PROPOSAL SCHEDULE,” delete the first paragraph in its entirety and insert the following in its place:**

In the HR/Payroll and Financial, Procurement, and Logistics sections of Schedule 1, the Proposer must directly enter the cost amounts for “Software License” and “Ongoing Software Licensure.” For purposes of establishing the annual licensure fees that will be included for the duration of the contract, the vendor should assume a duration as described above in RFP Attachment 6.1, *Pro Forma* Integrator Contract, Section B.1. The other amounts in Schedule 1 will be rolled up from lower-level schedules 2, 3, and 5.

- I. In RFP Attachment 6.11, Section 6.11.6, delete the three (3) bulleted items that follow paragraph 3 in their entirety and insert the following in their place:**

- a. Proposals that include non-State standard product(s), which were submitted to the State as a Written Question and approved, will not be disqualified for proposing the approved non-State standard product(s).
- b. Proposals that include non-State standard product(s), which were submitted to the State as a Written Question and disapproved, will be disqualified for proposing the disapproved non-State standard product(s).
- c. For Proposals that include non-State standard product(s), which were not submitted to the State as a Written Question and approved as an exception, the State expects that the vendor will replace the non-State standard product with an equivalent State standard product, prior to system implementation, with no increase in the proposed cost; if the vendor will not agree to do this, the vendor’s proposal will be disqualified.

- J. In RFP Attachment 6.11, Section 6.11.6.2, delete the first paragraph in its entirety and insert the following in its place:**

For each non-State standard product proposed by the vendor, the exception request described in Section 6.11.6 must include the Supporting Documentation requested in 6.11.6.2.1, 6.11.6.2.2, 6.11.6.2.3, 6.11.6.2.4, and 6.11.6.2.5.

- K. In RFP Attachment 6.11, delete the “Proposed Software/Hardware/Communications Table” in its entirety, and replace it with the table that follows these amendments.**

| Architecture Sub-Category | Product Category | Proposed Product | Mandatory | Not Applicable | State Standard | Approved Exception | Containing Diagram Number(s) |
|--------------------------------------|---|---|-----------|----------------|----------------|--------------------|------------------------------|
| Application Architecture | | | | | | | |
| Application Access | Application Server (Indicate Selection) | Oracle Application Server 10G OR WebSphere Application Server | X | | | | |
| | Web Browser | Internet Explorer | X | | | | |
| | Web Server (Indicate Selection) | Oracle Apache OR IBM HTTP Server | X | | | | |
| Application Change Management | Application Change Management | | | | | | |
| Application Development | Automated Testing Tools - Test Management | Compuware | X | | | | |
| | Automated Testing Tools - Functionality | Compuware | X | | | | |
| | Automated Testing Tools - Performance | Compuware | X | | | | |
| | Languages (Indicate Selection) | Java 1.4.2 OR COBOL for z/OS and OS/390 | X | | | | |
| | Tools & Utilities | | | | | | |
| | Web Application Development Tools | | | | | | |
| | Web Graphical User Interface Development Tools | | | | | | |
| Output Management | Output Management | | | | | | |

| Architecture Sub-Category | Product Category | Proposed Product | Mandatory | Not Applicable | State Standard | Approved Exception | Containing Diagram Number(s) |
|--------------------------------------|--------------------------|----------------------------------|-----------|----------------|----------------|--------------------|------------------------------|
| Collaboration Architecture | | | | | | | |
| Collaboration Tools | Desktop Publishing | | | | | | |
| | List Management Software | | | | | | |
| | Office Automation | Microsoft Office | X | | | | |
| | Project Management | | | | | | |
| | Spreadsheet | Excel | X | | | | |
| | Word Processing | Word | X | | | | |
| Directory Services | Directory Services | | | | | | |
| Document Lifecycle Management | Automated Data Capture | FileNET Panagon Capture Desktop | X | | | | |
| | Automated Data Capture | FileNET Panagon Capture Pro | X | | | | |
| | Automated Data Capture | Verity | X | | | | |
| | Document Imaging | FileNET Panagon Content Services | X | | | | |
| | Document Imaging | FileNET Panagon Image Services | X | | | | |
| | Document Management | FileNET Content Manager | X | | | | |
| | Document Management | FileNET Image Manager | X | | | | |
| | Workflow | eProcess Services | X | | | | |
| | Workflow | Business Process Manager | X | | | | |
| Electronic Mail | Electronic Mail | GroupWise Client 6.5.1 | X | | | | |
| | Electronic Mail | GroupWise Server 6 | X | | | | |
| | Gateway | GroupWise | X | | | | |
| | Gateway | SendMail (SMTP) | X | | | | |

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|-----------------------|--------------------------|--|--|--|--|--|--|
| | List Management Software | | | | | | |
| Mobile Devices | Data Synchronization | | | | | | |
| | Handheld Devices | | | | | | |
| Web Publishing | Web Publishing | | | | | | |

| Architecture Sub-Category | Product Category | Proposed Product | Mandatory | Not Applicable | State Standard | Approved Exception | Containing Diagram Number(s) |
|---------------------------|---|---|-----------|----------------|----------------|--------------------|------------------------------|
| Data Architecture | | | | | | | |
| Data Access | Database Middleware | | | | | | |
| Data Management | Data Backup/Recovery | | | | | | |
| | Data Movement | | | | | | |
| | Data Quality | | | | | | |
| | Data Translator | | | | | | |
| | Extract, Transform, and Load | | | | | | |
| | Repository | | | | | | |
| Database Storage | Database Change Management | | | | | | |
| | Database Management System (Indicate Selection) | Oracle 10g OR DB2 Universal Database for z/OS 7.1 or higher | X | | | | |
| | Database Monitoring | | | | | | |
| Database Structure | Data Modeling/Database Design | | | | | | |

| Architecture Sub-Category | Product Category | Proposed Product | Mandatory | Not Applicable | State Standard | Approved Exception | Containing Diagram Number(s) |
|---|----------------------|------------------|-----------|----------------|----------------|--------------------|------------------------------|
| Information Architecture | | | | | | | |
| Business Intelligence | Data Analysis | | | | | | |
| | Data Mining | | | | | | |
| | Information Delivery | | | | | | |
| | Query/Reporting | | | | | | |
| Geographic Information Systems (GIS) | Data Server | ArcIMS | X | | | | |
| | Desktop Client | ArcInfo | X | | | | |
| | Desktop Client | ArcView | X | | | | |
| | PDA Client | | | | | | |

| Architecture Sub-Category | Product Category | Proposed Product | Mandatory | Not Applicable | State Standard | Approved Exception | Containing Diagram Number(s) |
|-----------------------------|----------------------------------|------------------|-----------|----------------|----------------|--------------------|------------------------------|
| Network Architecture | | | | | | | |
| LAN | File Access and Transfer Service | | | | | | |
| | Network Protocols | TCP/IP | X | | | | |
| WAN | Network Protocols | TCP/IP | X | | | | |

| Architecture Sub-Category | Product Category | Proposed Product | Mandatory | Not Applicable | State Standard | Approved Exception | Containing Diagram Number(s) |
|------------------------------|---|--|-----------|----------------|----------------|--------------------|------------------------------|
| Platform Architecture | | | | | | | |
| Hardware | Hardware (Indicate Application Server Selection Here) | Sun Microsystems OR IBM zSeries | X | | | | |
| | Hardware (Indicate Database Server Selection Here) | Sun Microsystems OR IBM zSeries | X | | | | |
| | Hardware (Indicate Web Server Selection Here) | Sun Microsystems OR IBM zSeries | X | | | | |
| Host Communications | Host Communications | | | | | | |
| Operating Systems | Application/Database Server Operating System (Indicate Application Server Selection Here) | Solaris 9.0 or higher OR z/OS v1.6 or higher OR SUSE Linux Enterprise Server 8 or higher | X | | | | |
| | Application/Database Server Operating System (Indicate Database Server Selection Here) | Solaris 9.0 or higher OR z/OS v1.6 or higher OR SUSE Linux Enterprise Server 8 or higher | X | | | | |
| | Application/Database Server Operating System (Indicate Web Server Selection Here) | Solaris 9.0 or higher OR z/OS v1.6 or higher OR SUSE Linux Enterprise Server 8 or higher | X | | | | |
| | File and Print Services | | | | | | |
| | Handheld Devices | | | | | | |
| | | | | | | | |

| Architecture Sub-Category | Product Category | Proposed Product | Mandatory | Not Applicable | State Standard | Approved Exception | Containing Diagram Number(s) |
|---|---|--------------------------------|-----------|----------------|----------------|--------------------|------------------------------|
| Security Architecture | | | | | | | |
| Data Storage Encryption Methods | Encryption Tools | | | | | | |
| Data Transmission Encryption Methods | Certificate Authority/ Public Key Infrastructure | | | | | | |
| | Encrypted Transport Protocols | | | | | | |
| | VPN | | | | | | |
| Encryption Standards | Hash Functions | | | | | | |
| | Public Key Encryption | | | | | | |
| | Symmetric Key Encryption | | | | | | |
| Security Services | Anti-Spam | | | | | | |
| | Authentication Protocol | | | | | | |
| | Certificates | | | | | | |
| | Firewall | | | | | | |
| | Intrusion Detection | | | | | | |
| | System/Data Security | | | | | | |
| | Virus Protection | Symantec Antivirus 9 or higher | X | | | | |

| Architecture Sub-Category | Product Category | Proposed Product | Mandatory | Not Applicable | State Standard | Approved Exception | Containing Diagram Number(s) |
|--|----------------------------|------------------|-----------|----------------|----------------|--------------------|------------------------------|
| Systems Management Architecture | | | | | | | |
| Backup/Retrieval | Backup/Retrieval | | | | | | |
| Help Desk | Problem Control Management | | | | | | |
| Monitoring | Performance Tuning | | | | | | |
| Online Access | Internet Access | | | | | | |
| | TP Monitors | | | | | | |
| Software Management | Software Distribution | | | | | | |
| Storage | Storage Management | | | | | | |
| Training | Computer Based Training | | | | | | |

| Architecture Sub-Category | Product Category | Proposed Product | Mandatory | Not Applicable | State Standard | Approved Exception | Containing Diagram Number(s) |
|---------------------------|------------------|------------------|-----------|----------------|----------------|--------------------|------------------------------|
| Other | | | | | | | |
| N/A | N/A | | | | | | |
| N/A | N/A | | | | | | |